

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

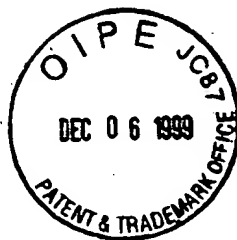
Section #13

In re Application of:

IVEZIC *et al*

Application No.: 09/399,540

Filed: September 20, 1999



Group Art Unit: 2786

For: METHOD FOR DISTRIBUTED AGENT-BASED NON-EXPERT SIMULATION OF MANUFACTURING PROCESS BEHAVIOR

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on \_\_\_\_\_

\_\_\_\_\_  
Date 12-2-99 Reg. No. 44,725

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents

**Attn: Box Missing Parts**

Washington, D.C. 20231

Sir:

Please find enclosed for filing:

- ☒ Response to Notice to File Missing Parts of Application - Filing Date Granted w/ copy of Notice
- ☒ Executed Combined Declaration and Power of Attorney
- ☒ Executed Assignment and Assignment Recordation Cover Sheet
- ☒ Check in the amount of \$930.00
- ☒ One postcard
- ☒ Please charge any deficiencies or credit any overpayment to Deposit Account No. 17-0055.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 12-2-99

\_\_\_\_\_  
Steven M. Greenberg  
Registration No. 44,725  
QUARLES & BRADY LLP  
222 Lakeview Avenue - Suite 400  
P. O. Box 3188  
West Palm Beach, FL 33402-3188  
(561) 653-5000

Docket No. 6321-147

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

IVEZIC *et al*

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D.C. 20231 on



Reg. No. 44,725

Date 12.2.99

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

Assistant Commissioner for Patents

**Attn: Box Missing Parts**

Washington, D.C. 20231


Sir:

In the above-identified application, Applicant has received a Notice To File Missing Parts Of Application Filing Date Granted form PTO-1533, mailed October 6, 1999, indicating that the Declaration filed with the above-identified application on September 20, 1999, did not include the signatures of the inventors and that the statutory basic filing fee was not included. Applicant submits herewith a duly executed Combined Declaration and Principal Power of Attorney for the above-identified application. Applicant also submits herewith the surcharge of \$130.00 required under 37 C.F.R. § 1.16(e), the statutory basic filing fee in the amount of \$760.00, an executed Assignment and Assignment Recordation Cover Sheet, the assignment recordal fee in the amount of \$40.00, and a copy of the Notice To File

Missing Parts dated October 6, 1999. Applicant requests examination on the merits.

Respectfully submitted,

Date: 12-2-99

  
\_\_\_\_\_  
Steven M. Greenberg  
Registration No. 44,725  
QUARLES & BRADY LLP  
222 Lakeview Avenue - Suite 400  
P. O. Box 3188  
West Palm Beach, FL 33402-3188  
(561) 653-5000

Docket No. 6321-147



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/399,540	09/20/99	IVEZIC	N 6321-147

LOCKHEED MARTIN ENERGY  
RESEARCH CORPORATION  
PO BOX 2009  
OAK RIDGE TN 37831-8243

0262/1006

NOT ASSIGNED

2786

DATE MAILED:

10/06/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*P. Walker*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

12/08/1999 NPRASASO 00000019 09399540

02 FC:105  
03 FC:299

130.00 DP  
760.00 DP

214  
18-21-99